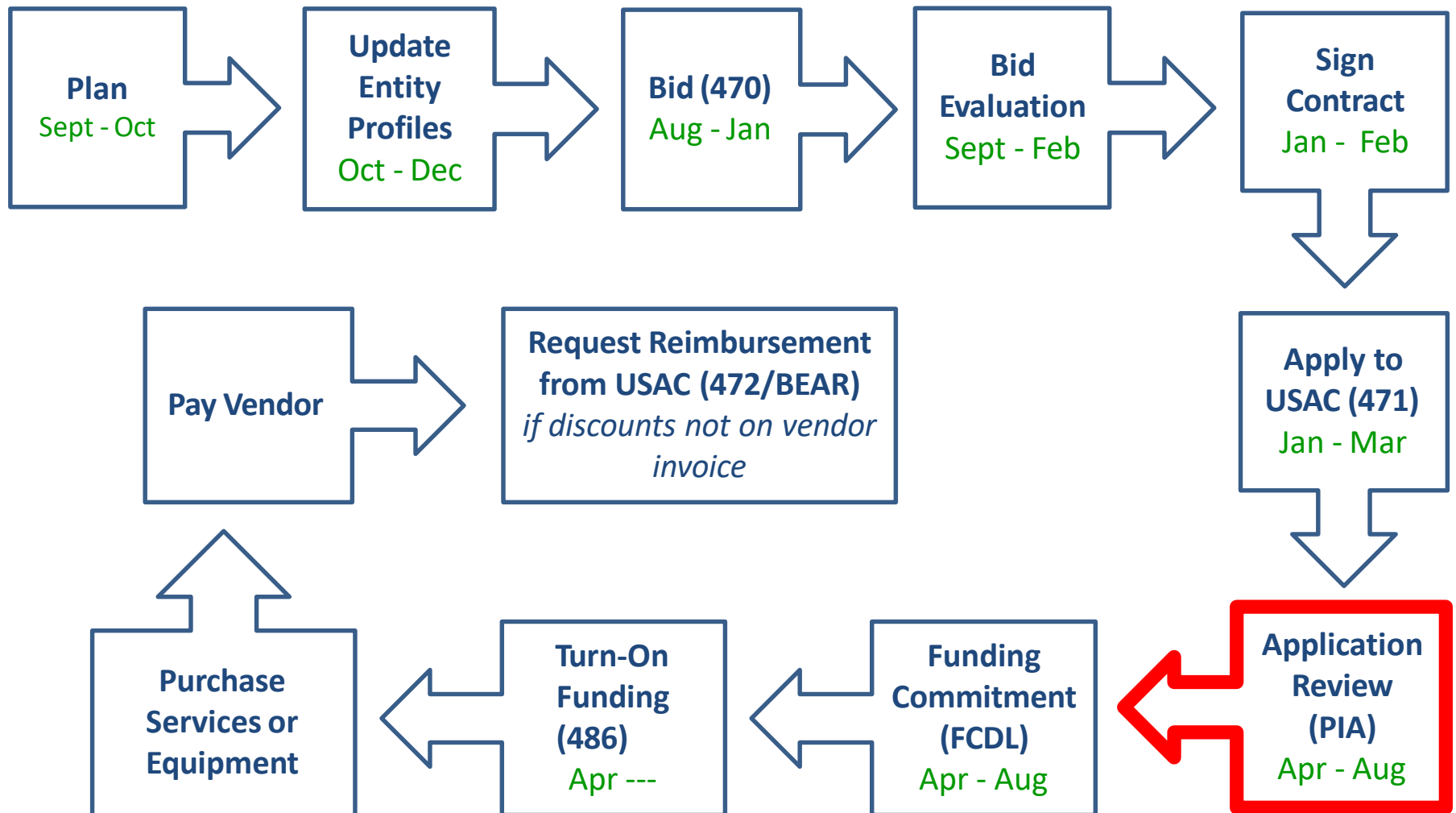


Program Integrity Assurance (PIA) Guide FY 2020



Presented by Julie Tritt Schell
PA E-rate Coordinator
for the Pennsylvania Department of Education
February 2020

You are **here** in the E-rate process:



What is PIA?

- PIA = Program Integrity Assurance Review
- Stage of application process where USAC reviews every application for the following compliance:
 - Eligible Entities
 - Competitive Bidding
 - Eligible Services/Equipment
 - Appropriate Discount Level
 - Category 2 Budget Caps
 - Contract Signing
- All PIA “inquiries” are issued via applicants’ EPC portals
 - Applicants must submit responses to the PIA inquiries via the EPC portals as well

PIA Review Inquiries

- The 471 main contact will receive an email notification that a PIA reviewer has questions about their application.
 - The e-mail will list the 471 # and the general subject of the inquiry (e.g. Eligibility of Services or Discount Rate), but will not contain the actual PIA questions.
 - Click on the link in the e-mail to be taken to the PIA Inquiry Page (you may have to log in to EPC if you're not already).
 - The 471 main contact will also see the PIA Inquiry under **Tasks**

PIA E-mail Notifications



E-rate Review Information

Today's Date: 4/2/2019
Response Due Date: 4/17/2019
Contact Name: [REDACTED]
Applicant Name: [REDACTED] HOOL DIST
FCC Form 471 Application Number [REDACTED]

Dear Applicant

We are in the process of reviewing your Funding Year FY2019 FCC Form 471 application(s) and we need additional information to complete our review. Please respond to the information requests below. Please note that EPC allows you to begin working on your answers and save your work for later by clicking "Save and Close." We advise you to provide responses to all of the questions before submitting your answers. Click "Submit" only when you have answered all the questions in their entirety.

- Eligibility of Products and Services

[Click here to respond to inquiries](#)



Click this link to go directly to the PIA inquiry (be sure you're logged into EPC).

[USAC Homepage](#)

If you have any questions as you work through your responses to the PIA review inquiries, please feel free to contact me via the phone number or e-mail address provided below. Please do not use e-mail to submit your responses to the PIA review inquiries. USAC will not review responses to PIA review inquiries sent to the reviewer's email address. All PIA responses must be submitted in EPC. Again, please be sure to provide complete responses to all of the PIA questions. If you need additional time to prepare your response, you may request an extension. Click the "Request Extension" button, located at the top of the "Review Inquiries" page in EPC. Your first extension request will be automatically granted, and you will receive an additional seven days to respond. Be sure to meet the response due date and provide all of the information requested, otherwise your application(s) may receive reduced funding or a funding denial. Note: Your State E-Rate Coordinator is copied on this correspondence for informational purposes only.

Antonio Vaca
E-Rate Reviewer
833-205-1185 Ext. 58658
antonio.vaca@usac.org



New for FY 2020



- On the EPC Landing Page, there is now a **“Pending Inquiries”** section at the top of the page
- All “users” for the organization will see and can respond to all inquiries, even if they are not the contact for that form



Welcome, !

[Funding Request Report](#) |
 [FCC Form 470](#) |
 [FCC Form 471](#) |
 [FCC Form 486](#) |
 [FCC Form 500](#) |
 [SPIN Change](#) |
 [Appeal](#) |
 [Service Substitution](#) |
 [USAC Website](#) |
 [Contact Us](#) |
 [Help](#)

▼ Pending Inquiries

Type: Application/Request:
 Funding Year: BEN:

Pending COMAD Inquiries are not included.

BEN	Application/Request Number	Type	Nickname	Inquiry Name	Outreach Type	Date Sent	Due Date ↑	Extn.	Status
VALLEY SCHOOL DIST	201005059	FCC Form 471	47120-CVSD C2 ALL	Cost Effectiveness of the Products and Services	E-rate Review Information	2/20/2020 4:16 PM EST	3/6/2020	0	Response Needed

PIA Inquiry Page

Step 1) Click “Respond to Inquiries” which will then turn the Inquiry Name the color blue and make it an active hyperlink.

Step 2) Click on the hyperlink to see the actual PIA questions.

The screenshot shows the '2018-19-Lit Fiber WAN - #181018012' page. At the top right, there are two buttons: 'REQUEST EXTENSION' and 'RESPOND TO INQUIRIES'. The 'RESPOND TO INQUIRIES' button is circled in red and labeled with a '1'. Below the buttons is a navigation bar with tabs: 'Summary', 'Funding Requests', 'Review Inquiries', 'Connectivity Information', 'Discount Calculation', 'Entity Information', 'News', and 'Related Actions'. The 'Review Inquiries' tab is active. Below the navigation bar is a section titled 'Pending Inquiries' with a circled '2'. It contains a table with two rows of inquiries. The first row has a due date of 4/5/2018, and the second row has a due date of 3/28/2018. The 'Due Date' column is highlighted with a red dashed box, and an arrow points to it from a text box at the bottom. The 'Name' column of the first row is circled in red, and an arrow points to it from the 'Step 2' text box. At the bottom of the page, there is a section for 'Submitted Inquiries' which is currently empty, showing 'No Submitted Inquiries.'

Read	Notice	Name	Outreach Type	Assigned By	Title	Phone #	Assigned Date	Due Date	Extn.	Status
		Eligibility of Products and Services	Regular 15-Day	Norman White	Case Management Associate	973-581-7554	3/21/2018 12:43 PM EDT	4/5/2018	0	Response Needed
		Eligibility of Products and Services	Regular 15-Day	Norman White	Case Management Associate	973-581-7554	3/13/2018 11:52 AM EDT	3/28/2018	0	Saved & Waiting to submit



Name	Outreach Type	Answered By	Assigned Date	Answered Date
No Submitted Inquiries.				

Shows the date by which your PIA answers must be submitted. If you need additional time, click “Request Extension” at the top of the page.

PIA Questions Page

Read the Questions carefully and upload or provide an answer as appropriate. When **all** questions have been completed, click “Submit.”

Your response to PIA's questions:

#	Question	Response
1	<p>Please provide vendor documentation which supports the funding request amount of \$6,300.00/month.</p> <p>Note: Any vendor documentation (e.g. contracts, vendor quotes, vendor bills, invoices, etc.) provided should clearly identify any ineligible charges that were cost allocated out of your request. Also, please be sure to explain any discrepancies between the amount requested and amount supported.</p>	<p>UPLOAD  Drop file here</p>
2	<p>If you are requesting additional dollars to accommodate expected growth or increased usage, please provide any relevant documentation that you used when determining the estimate for expected growth or increased usage.</p>	<p>UPLOAD  Drop file here</p>
3	<p>If you would like to provide any additional information about these issues that have not been addressed above:</p> <p>Use the text box to type information, or upload additional documentation using the “Browse” button.</p>	

+Add Document ←

DELETE UPLOADED FILES

SAVE & CLOSE

SUBMIT

To add additional documents, click “Add Document.”

You can use the last text box to provide additional information/details that are relevant to the PIA review

Deadline to Reply

- PIA inquiries typically provide applicants with 15 days to respond.
 - You are encouraged – strongly – to respond as soon as you receive the e-mail. The sooner you respond, the sooner you'll receive your E-rate FCDL.
- If you haven't responded to the PIA inquiry within 7 days of the initial request, PIA will send you a reminder.
- If you haven't responded after 15 days, USAC may act on the information they already have to make a funding decision (which typically means denial).
 - Applicants may request additional extensions if more time is needed but must explain the extenuating circumstances and the PIA reviewer must obtain the approval of their supervisor.

Requesting Clarifications

- If you receive a PIA inquiry and are confused, you may e-mail your PIA reviewer using the contact information provided at the bottom of the initial PIA inquiry e-mail.

If you have any questions as you work through your responses to the PIA review inquiries, please feel free to contact me via the phone number or e-mail address provided below. Please do not use e-mail to submit your responses to the PIA review inquiries. USAC will not review responses to PIA review inquiries sent to the reviewer's email address. All PIA responses must be submitted in EPC. Again, please be sure to provide complete responses to all of the PIA questions. If you need additional time to prepare your response, you may request an extension. Click the "Request Extension" button, located at the top of the "Review Inquiries" page in EPC. Your first extension request will be automatically granted, and you will receive an additional seven days to respond. Be sure to meet the response due date and provide all of the information requested, otherwise your application(s) may receive reduced funding or a funding denial. Note: Your State E-Rate Coordinator is copied on this correspondence for informational purposes only.

Antonio Vaca
E-Rate Reviewer
833-205-1185 Ext. 58658
antonio.vaca@usac.org

Requesting an Extension

- Applicants can request a 7-day extension of the original deadline if you need more time. To do so, access your PIA Inquiry in EPC, click **Request Extension** at the top of the page.
- The first extension request will be automatically granted. If you still need more time, you must have a good reason to need extra time, and PIA will review your extension request before making a decision.

Records / FCC Forms 471

2018-19-Lit Fiber WAN - #181018012

Summary Funding Requests **Review Inquiries** Connectivity Information Discount Calculation Entity Information News Related Actions

REQUEST EXTENSION RESPOND TO INQUIRIES

Pending Inquiries

Read	Notice	Name	Outreach Type	Assigned By	Title	Phone #	Assigned Date ↓	Due Date	Extn.	Status
		Eligibility of Products and Services	Regular 15-Day	Norman White	Case Management Associate	973-581-7554	3/21/2018 12:43 PM EDT	4/5/2018	0	Response Needed
		Eligibility of Products and Services	Regular 15-Day	Norman White	Case Management Associate	973-581-7554	3/13/2018 11:52 AM EDT	3/28/2018	0	Saved & Waiting to submit

Submitted Inquiries

Name	Outreach Type	Answered By	Assigned Date ↓	Answered Date
No Submitted Inquiries.				

To See What Was Submitted...

To see the responses submitted, click Review Inquiries and under Submitted Inquiries, it will display each inquiry. Click on the Name to see your responses/documents.

Records / FCC Forms 471

Northern Lebanon - C1 - FY 2020 - #201007895

[RESPOND TO INQUIRIES](#) [SUBMIT MODIFICATION REQUES...](#)

[Summary](#) [Funding Requests](#) **[Review Inquiries](#)** [Discount Calculation](#) [Entity Information](#) [News](#) [Related Actions](#)

Pending Inquiries

Read	Notice	Name	Outreach Type	Assigned By	Title	Phone #	Assigned Date ↓	Due Date	Extn.	Status
No Pending Inquiries.										

Submitted Inquiries

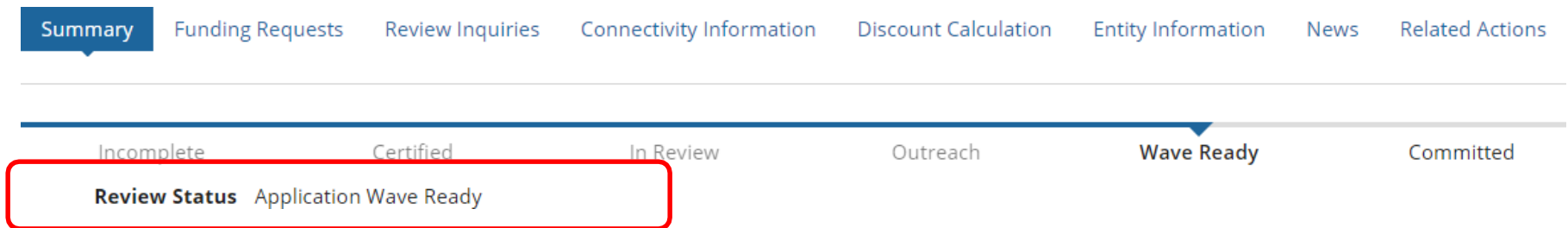
Name	Outreach Type	Answered By	Assigned Date ↓	Answered Date
Eligibility of Products and Services	Regular 15-Day	Julie Tritt Schell	2/11/2020 11:25 AM EST	2/11/2020 11:31 AM EST

To See the Form 471 Status

1. Search for Form 471 in EPC:
 - Bottom of EPC Landing Page under FCC Forms
 - Under Records on top toolbar
2. At the top of the page, a bar will show the progress of the Form 471.
3. Below that, it will show the Application Review stage of the Form.

To see a more detailed status, use the FRN Status Tool (FST):

<https://data.usac.org/publicreports/FRN/Status/FundYear>



Various Statuses

- **471 Status Descriptions:**
 - **In Review:** USAC received your certified 471 and is reviewing it
 - **Outreach:** USAC requires additional information and requires a response from you
 - **Wave Ready:** USAC has completed the PIA review and will issue a decision soon
 - **Committed:** USAC has issued a Funding Commitment Letter (FCDL)
- **Detailed Review Status Descriptions (from FST):**
 - **Awaiting Initial Review:** Application has not yet been assigned to a PIA reviewer
 - **Assigned to Initial Review:** Application has been placed in a PIA reviewer's queue
 - **15 Day Notice:** PIA has sent questions to the 471 contact that require a response from you
 - **Final Review:** Application has gone through initial review and may either proceed to Wave Ready Status, QA Status, or may be returned for additional review
 - **Application Wave Ready:** PIA is complete and will likely be included in the upcoming funding "Wave"

What Happens Next?

- After PIA review, the application likely will move to “Wave Ready” status which likely means that the application will be funded in an upcoming wave
 - All FCDL notifications will be appear in EPC
 - Landing Page > Notifications > FCDL > Funding Year

Notifications

Notification Type:

Funding Year:

Status  All
 Generated
 Not Generated

	Notification	Description	Issued Date	Generated By	Generated On	
	Funding Commitment Decision Letter	FCC Form 471 - 181035843	1/16/2019	EPC System	1/16/2019 12:02 AM EST	View Notification
	Funding Commitment Decision Letter	FCC Form 471 - 181030090	1/11/2019	EPC System	1/11/2019 12:03 AM EST	View Notification

- Click on “View Notification” to see FCDL details