Category 1 Form 470 Filing Guide

Funding Year 2026



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for the Pennsylvania Department of Education
Fall 2025

www.E-Ratepa.org

Agenda

- Form 470 Purpose/Changes
- Category 1
 - Bandwidth/Internet Access
- Bid Evaluation
- Certifying the Form 470

Purpose of the Form 470

Form 470 Competitive Bidding Form

- Notifies vendors of services and equipment for which you are seeking proposals
 - All equipment/services for which you will seek funding on the Form 471 must have been posted on a Form 470*
- Vendors are invited to submit bids/price quotes for 28 days from the date of certifying your Form 470
 - List bid due date in the narrative text box, along with any disqualifying factors
- You are NOT obligated to purchase any service/equipment listed on Form 470
- Category 1 and Category 2 can be filed on the same 470

^{*}except for very small bidding exemptions

When Must a Form 470 be Posted?

1. Month-to-Month Services

- No contract exists these are mostly cable modem-type services
- File Form 470 annually unless using CABIO bidding exemption

2. New Contracts

- File Form 470 before signing any new contract
- If you posted a Form 470 in a previous year, and then signed a multi-year contract that expires on or after June 30, 2026, you are <u>not</u> required to file a Form 470 for FY 2026

3. Bandwidth Upgrades

 If you're upgrading service mid-contract and the upgrades and associated prices are not included in the original contract

Contract Extensions

- Permitted but must have been in original contract and must have a definitive end
 - OK: For example, a 3-year contract, with two 1-year renewals. Will not require new 470 until the end of 5 years
- Must notify vendor in writing before filing Form 471 if you want to exercise one of your contract extensions
 - Can be as simple as an e-mail; some vendors want contract amendment
 - USAC will ask for this written notification during your application review

CABIO Internet Bidding Exemption

Commercially Available Bundled Internet Option (CABIO)

- Schools and libraries seeking "Commercially-Available Business Class Internet Services" are not required to file a Form 470
- Typically, only Cable-Modem, DSL, or FIOS Internet Service
- Commercially Available means that it is publicly available to nonresidential customers (such as enterprises, small businesses, or other government customers) in the same form and at the same rates that it is offered to schools or libraries
 - Basically, this is an internet service that is available on a vendor's website for its business customers
- Minimum speeds must be 100 Mbps downstream and 10 Mbps upstream
- Cost must be \$3,600 or less annually (pre-discount)
 - Cost must be per building and cannot be averaged across multiple buildings
 - \$3,600 includes any installation costs, equipment rental, taxes and fees

The CABIO option is geared (but not limited) to small libraries and small non-public schools or NIFs using cable modem-type services

Requests for Proposals (RFPs)

- USAC considers any bidding document provided to vendors an RFP
- RFPs not required, except for:
 - When seeking dark fiber or self-provisioned fiber projects
 - Wireless Data Plans (rarely eligible except for bookmobiles)
- If issuing an RFP, all documents that constitute that RFP must be uploaded with Form 470 at time of filing
 - Cannot only cite to a website bidding advertisement
 - Important: Any addenda or other documents given to vendors after the Form 470 is filed must be uploaded with existing 470
 - Must restart the 28-day clock if any substantive changes are made to the original RFP (basically means any changes)
- Vendors cannot provide RFP language or bid lists
 - Be very wary of any vendor that offers this

Disqualifying a Bid

- In order to DQ a bid, the bid disqualification reason must have been stated in the Form 470 or in the RFP
- DQ reasons <u>must</u> be yes/no (either they complied, or they did not)
- Examples:
 - Vendor must submit a proposal by the deadline stated in the 470/RFP
 - Vendor must bid on all services requested in the 470/RFP
 - Vendor must be authorized to do business in PA
 - Equipment must be compatible with school's existing XXX equipment
 - Be sure to then list the existing equipment in the school or library
 - Equipment cannot be refurbished or "gray market"
 - Vendor must be willing to provide discounted bills
 - Vendor must attend pre-bid walk-through
- When disqualifying a bid, make a note of the exact reason for DQ reason and show where it was stated in the 470 or RFP

When to File the Form 470

Deadline: Form 470 must be posted online <u>at least</u> 29 days before the Form 471 window close

For example:

- If Form 471 deadline is March 26, 2026; therefore...
- February 26, 2026 = 470 Deadline
 - DO NOT wait this long! Gives you 1 day to evaluate bids, negotiate contracts, sign contracts, and then file the Form 471 by the 471 deadline
 - File 470 before December 1, if possible
- Helpful Form 470 Guides: http://E-Ratepa.org/?page_id=754
 - Helpful Form 470 and RFP Narrative Guide
 - C1 Form 470 Filing Guide
 - C2 Form 470 Filing Guide Public Schools
 - C2 Form 470 Filing Guide Non-Public Schools/Libraries

Categories of Service

- Category 1 Services that go TO the school or library
 - Subcategories:
 - Data Transmission Services (fiber, coaxial cable, etc.)
 - Internet Access
 - Maximum discount is 90%
 - No E-Rate funding caps
 - Services must be received July 1 June 30
 - All buildings are eligible for Cat 1 funding



See https://www.usac.org/e-rate/applicant-process/before-you-begin/eligible-services-list/ for the complete E-Rate eligibility list

C1: Data Transmission Services

Most Common:

- Leased Lit Fiber
- Cable Modem/DSL/FIOS
- Bundled Internet Access (with transport)

Other Eligible Fiber:

- Leased Dark Fiber * (Someone else owns it but you're responsible for lighting it)
- Self-Provisioned Fiber (Build/Own Your Own Network) *
- Special Construction Charges
 - Costs for vendor to build out network
- Installation Charges
 - One-time charge to "turn on" service

Also Eligible (less common):

- Microwave Service
- Broadband over Power Lines
- DS-1, DS-2, DS-3
- OC-1, OC-3, OC-12, OC-n
- ISDN
- Satellite Services
- Stand-Alone Internet (no transport cost if own the fiber to your buildings, or a different vendor provides the circuits)
- On-Premise Wireless Data Plans *
 (typically used for bookmobiles) where plans
 are the most cost-effective option

Not Eligible:

- Voice services
- <u>Duplicative (redundant) services</u>
- Filtering

^{*} Additional bidding requirements apply

During the Bidding Period...

- After the Form 470 has been posted online, vendors submit proposals directly to the school
- Proposals must be accepted for a minimum of 28 calendar days
 - Applicants should specify a bid deadline in the Form 470/RFP
 - Can be longer than 28 days
- Vendors will contact you if they need additional information
- When contacted by a vendor, you <u>must</u> indicate your willingness to receive a proposal for services listed on Form 470
 - Do NOT say you are just going to stay with your current vendor
- May conduct pre-bid meeting with vendors

Form 470 – After Submission Changes

Ability To Upload RFP and RFP Documents After Certification

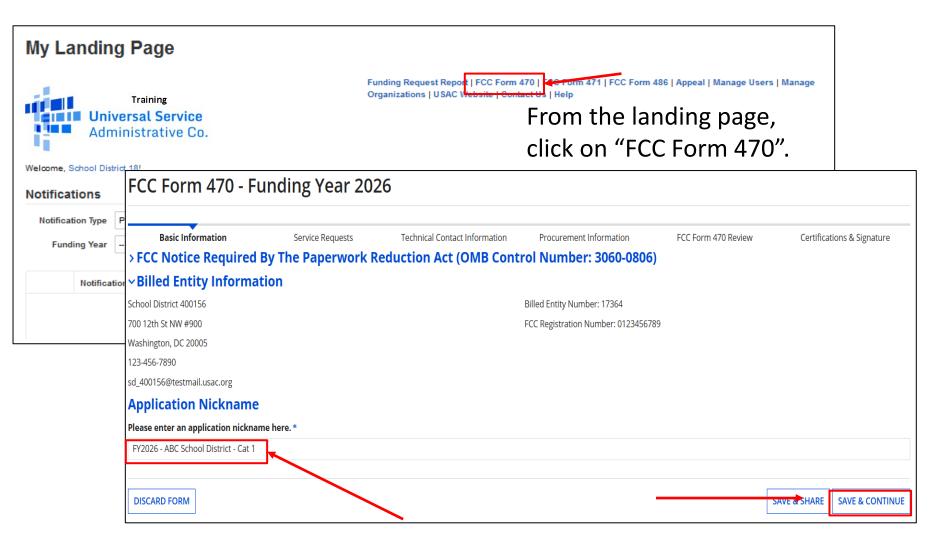
- Applicants can upload an RFP (request for proposals) after the FCC Form 470
 is submitted and certified in EPC but cannot remove RFP documents
 attached to your previously-certified FCC Form 470.
- If the new RFP document changes the service or entities originally listed (increasing bandwidth or quantities), you must restart the 28-day waiting period; no need to refile the Form 470!
- Ability To Cancel FCC Forms 470 and View Canceled Forms
 - Records -> FCC Forms 470 by selecting "Canceled" under the Status filter

Before Starting the Form 470

- Before filing the Form 470, be sure to check the Entity List on the Landing Page to be sure all of your buildings (schools, libraries, NIFs) are listed
 - Contact USAC to have missing buildings added to EPC
 - Cannot add entities yourself

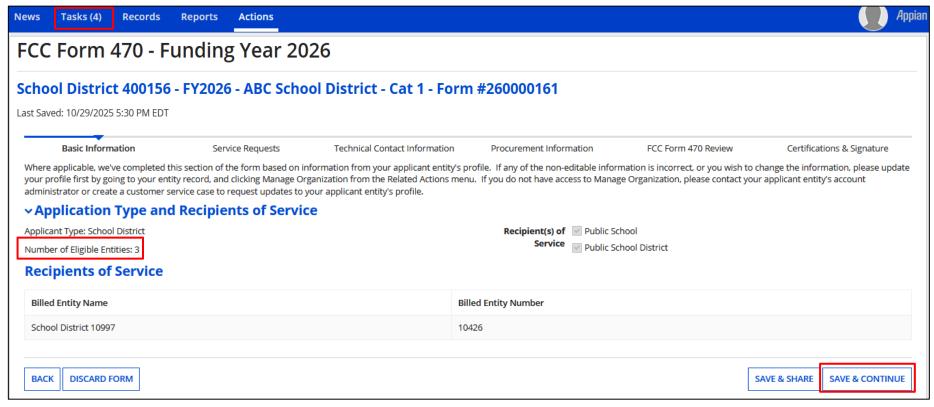
My Entities						
Entity Number	City	State	Zip Code			
108	Springfield	PA	19064			
109	Springfield	PA	19064			
111	Springfield	PA	19064			
10597	SPRINGFIELD	PA	19064			
10598	SPRINGFIELD	PA	19064			
	108 109 111 10597	108 Springfield 109 Springfield 111 Springfield 10597 SPRINGFIELD	108 Springfield PA 109 Springfield PA 111 Springfield PA 10597 SPRINGFIELD PA			

Where to File the Form 470



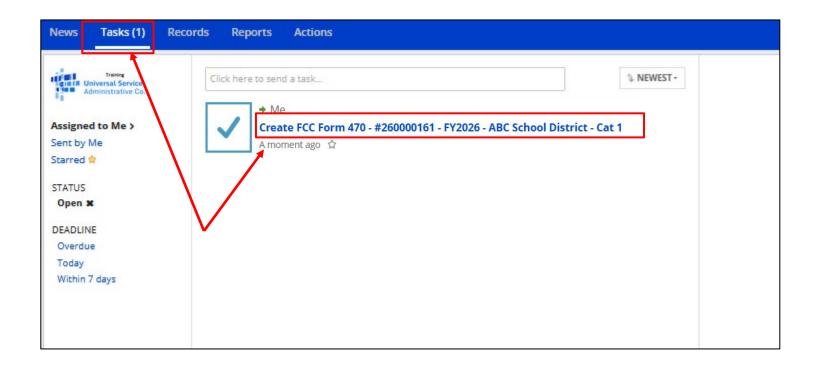
Enter Nickname (user defined) and click on "Save and Continue"

Filing the Form 470



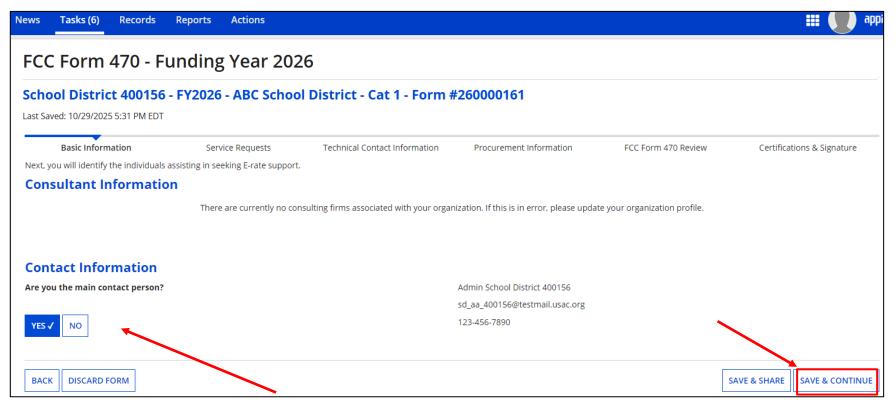
- Once you save the application, the "Task" is indicated on the main menu bar.
- Verify the information listed about your entity. If necessary, update your profile.
- Click on "Save & Continue"

Tasks



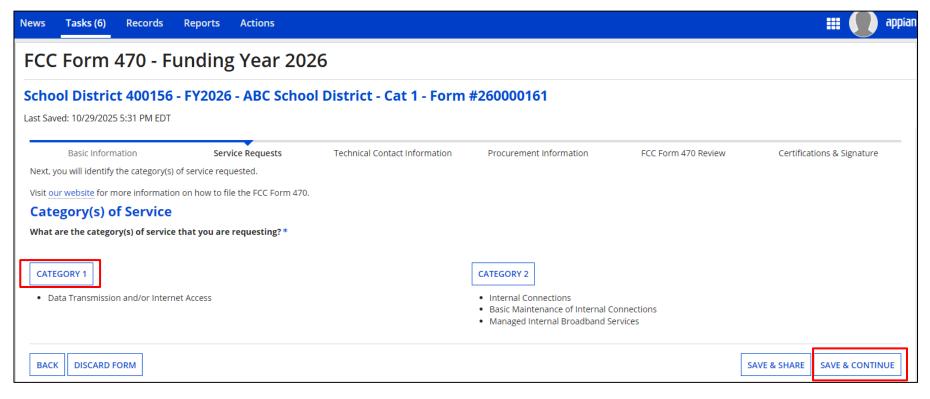
- If you start an application, and cannot complete it, go to "Tasks" located on the main menu bar, and click on form to complete.
- Note: It will say "Create" instead of "Continue".

Contact Information



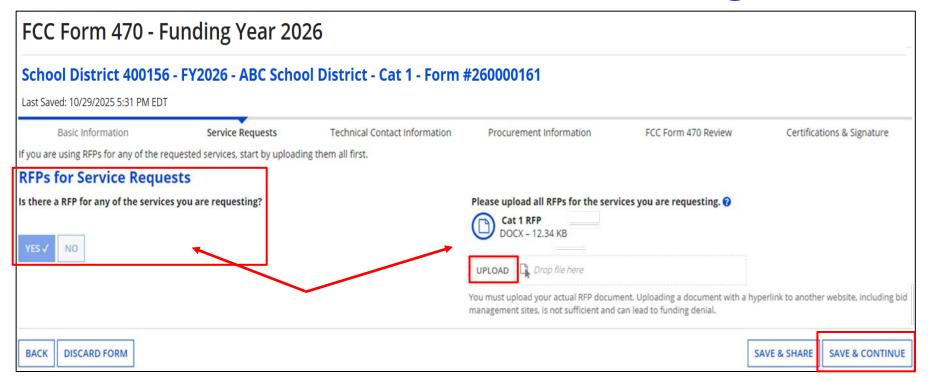
- Choose option for contact information. If "Yes", your info will display.
- If someone else will be the main contact, choose "No" and provide their information. Keep in mind they must have a portal account.
- Click on "Save & Continue"

Choosing Category of Service



- Choose the "Category of Service" you are seeking.
- On the Form 470, you can list services from <u>both</u> Category
 One and Category Two on the same application.
- Click on "Save & Continue"

Adding An RFP



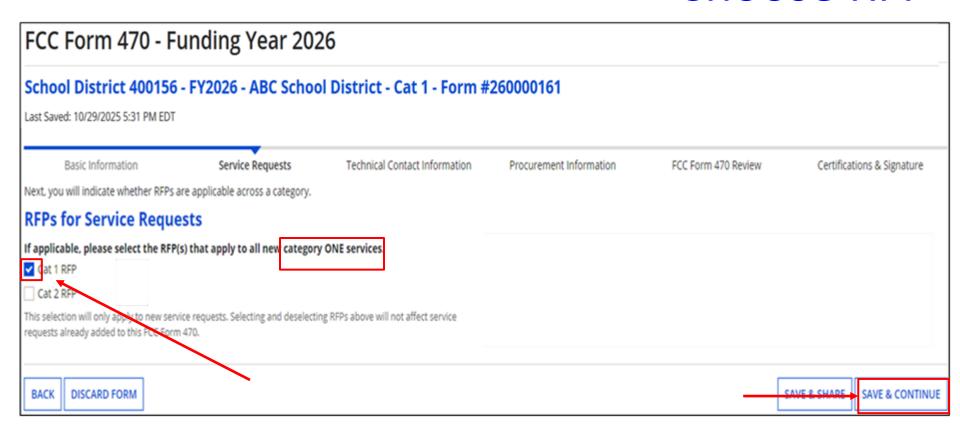
- If you have an RFP for any of the services that will be included on the Form 470, click on "Yes". This could be as simple as a spreadsheet with a list of sites and addresses, or a list of hardware and quantities.
- You will then see the "Upload" option to attach the RFP file.
- You can add multiple documents, if necessary, for both Category 1 & 2.
- Click on "Save & Continue"

Simple RFP Examples

Cat 1:

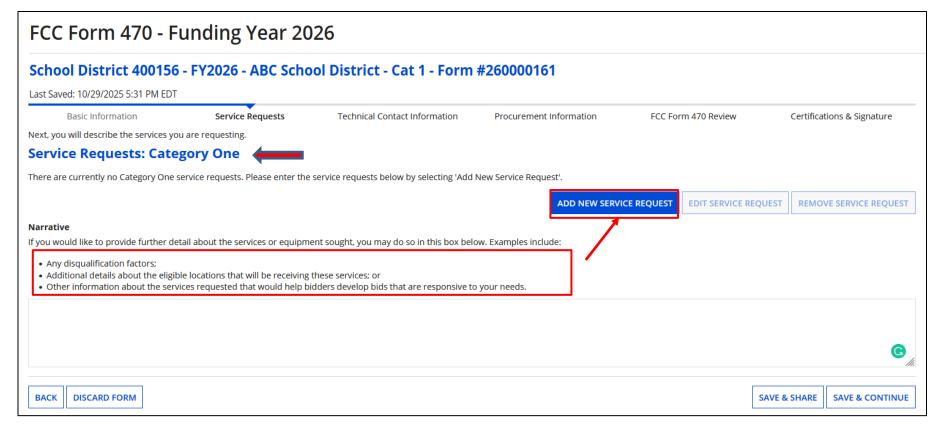
School	Minimum Bandwidth Requested	Address	Notes	
ABC High School	1GB	425 Town Pkwy, Anytown, PA 43000	Address of the demarc location	
ABC K-2 Primary School	500MB	235 Cherry St, Anytown, PA 43000	Point to Point requested, connecting ABC K-2 Primary School to High School	
ABC Intermediate School	1GB	345 Arch St, Anytown, PA 43000	Point to Point requested, connecting ABC Intermediate School to High School	
ABC Middle School	1GB	841 E Main St, Anytown, PA 43000	Point to Point requested, connecting ABC Middle School to High School	

Choose RFP



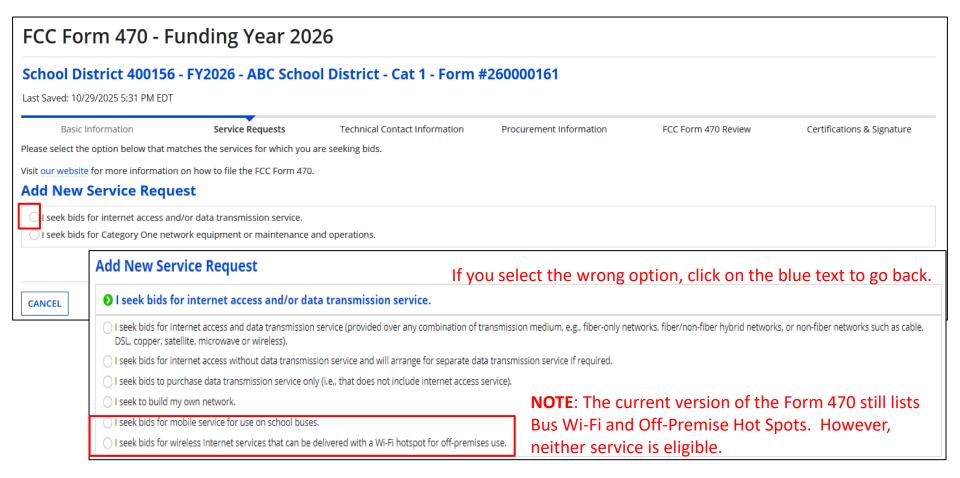
- If applicable, select the RFPs that apply to all new Category 1 services.
- If you answered "No" previously to RFP's, this screen would not display.
- Click on "Save & Continue"

Adding Service Request



- Category 1 is displayed first on the page. Click on "Add New Service Request" to add service/s.
- You'll explain in the narrative what you're looking for, locations, and disqualification factors. If you uploaded an RFP with the information, you may state "See attached RFP for full details".

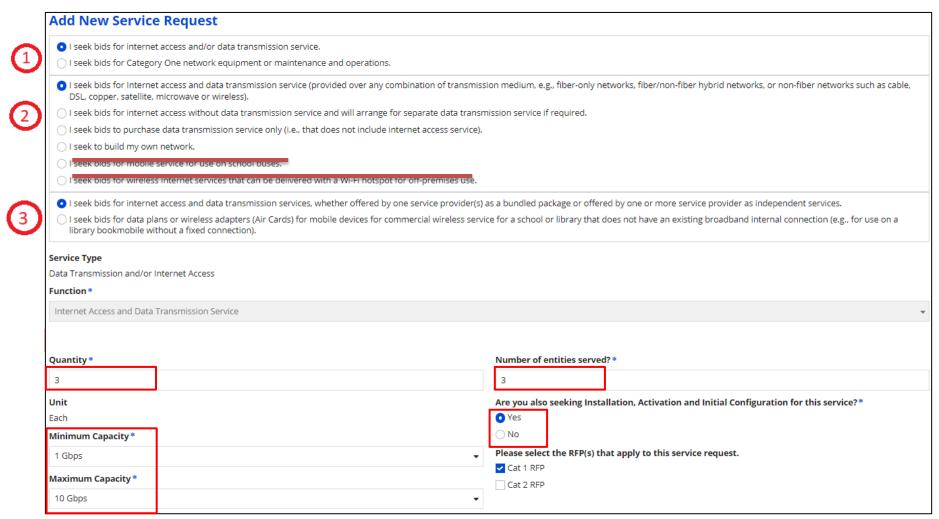
Adding New Service Request



 Select the radio button for the service you're requesting. As you select an option that section will collapse, and you will drill down to identify the service further.

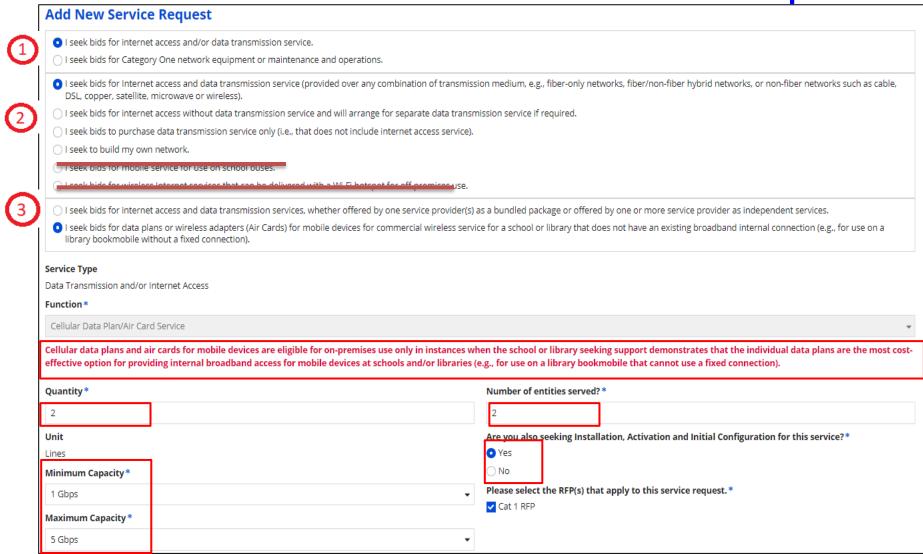
Here are the options......

Internet Access and Bandwidth



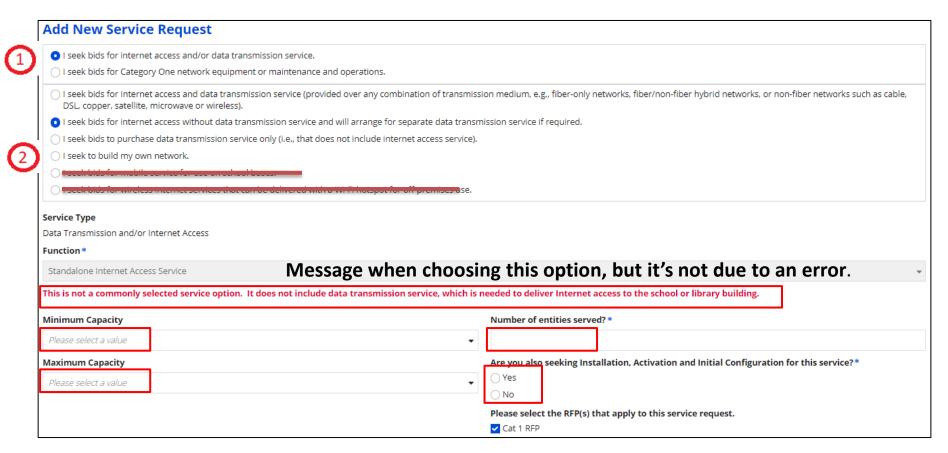
Options for selecting both bandwidth and Internet Access as a bundle. Enter
the number of circuits, entities, and minimum & maximum capacity.
"Maximum" should include for future growth. Indicate if you want installation.
If not sure, just say "Yes". RFP should be checked if added.

Data Plan Options



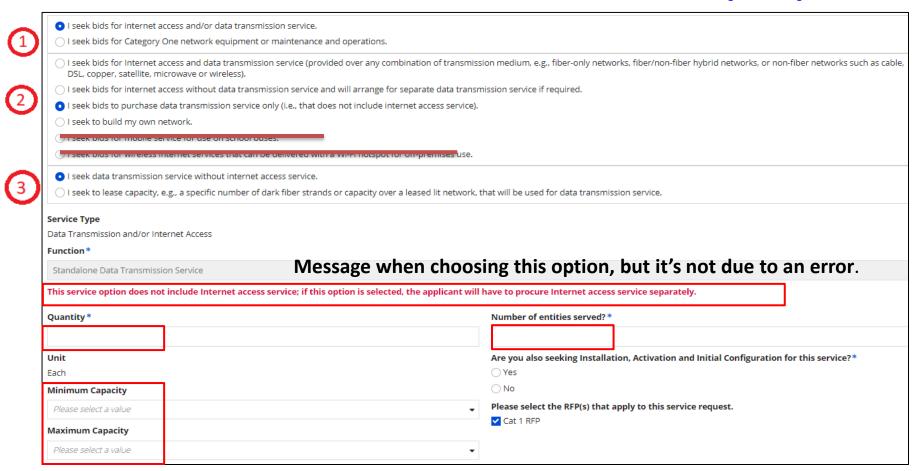
 Choose this option only for data plans for locations where this is the only option for Internet Access, such as a library's Bookmobile, indicating capacity. An RFP is required for this service.

Internet Access Only Options



 Options for selecting just Internet Access when priced separately, such as when end-point circuits between buildings are owned by the district.
 Enter the number of entities, and minimum & maximum capacity. Indicate if you want installation. Same applies, if not sure, just say "Yes".

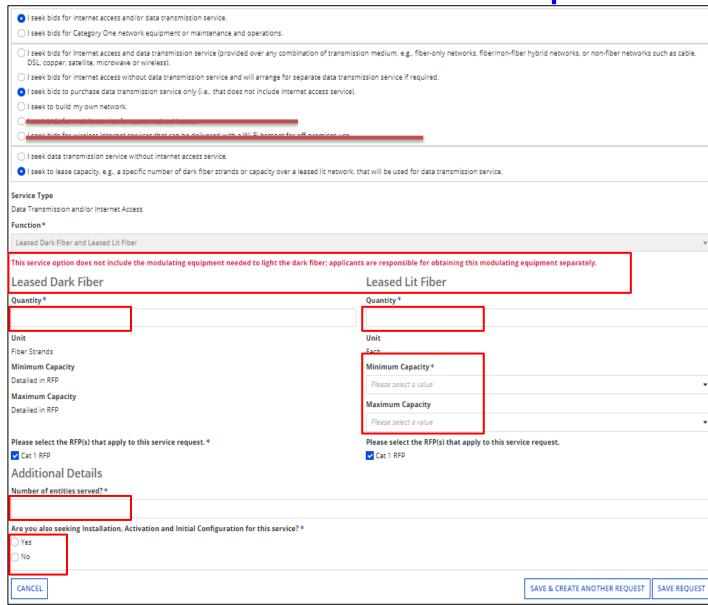
Bandwidth Only Options



Options for selecting just bandwidth if Internet is provided or priced separately. Enter the number of circuits, entities, and minimum & maximum capacity. "Maximum" should include for future growth. Indicate if you want installation. Same applies, if not sure, just say "Yes".

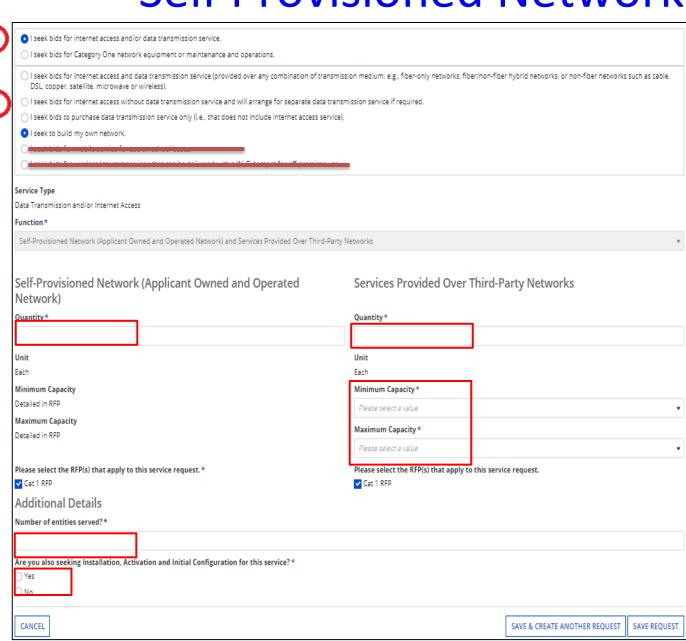
- Options if seeking
 Dark Fiber, where
 provider owns
 and maintains the
 fiber, but you're
 required to light 2
 it. If so, an RFP is
 required stating 3
 capacity, and you
 must compare
 Leased Lit Fiber
 cost.
- Enter the number of circuits, entities, and minimum & maximum capacity, and if seeking installation.
- The electronics to light the fiber will need to be listed as a separate item.

Dark Fiber Options

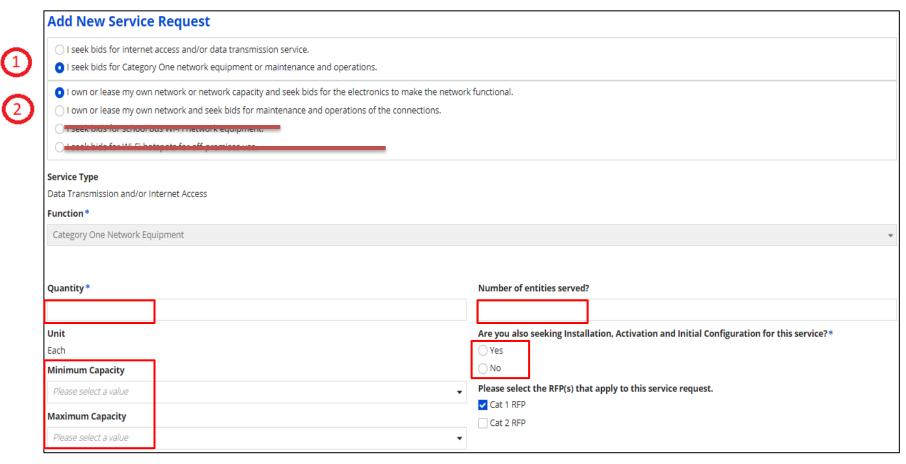


Self Provisioned Network

- Self-Provision is when the applicant owns the fiber, lights it, and maintains it.
- required with capacity, and you must compare it to other options such as Leased Lit Fiber, microwave, etc.
- Enter the number of circuits, entities, and minimum & maximum capacity.
- The electronics to light the fiber will need to be listed as a separate item.

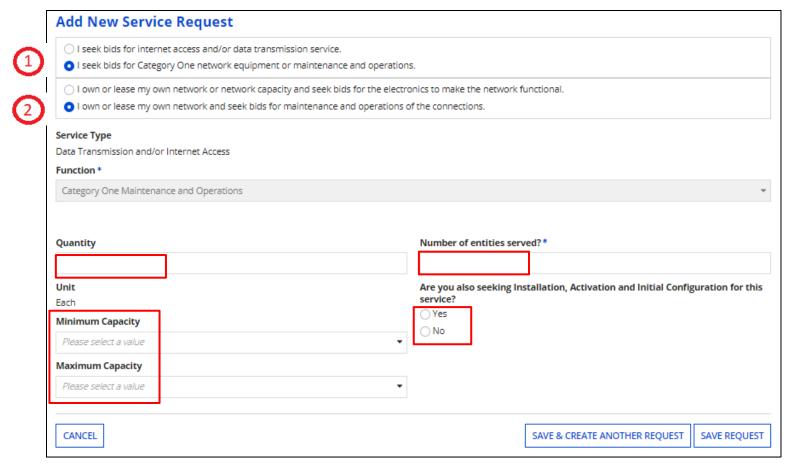


Category 1 Network Equipment Options



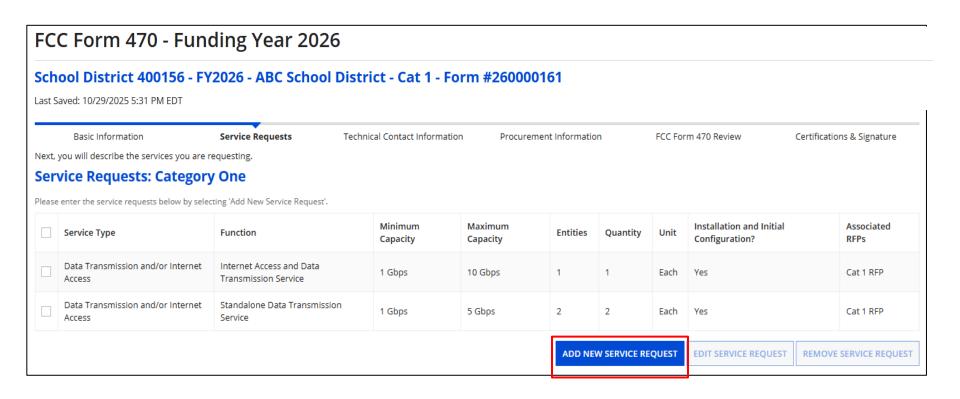
• If requesting Dark Fiber or Self-Provision, the electronics to light the fiber can be requested under Category 1. Enter the quantity, number of entities served, and minimum & maximum capacity. Indicate if you want installation. Same applies, if not sure, just say "Yes".

Maintenance/Operations Options



• If requesting Maintenance and Operations of existing Dark Fiber or Self-Provision circuits where only M&O will be charged. Enter the quantity, number of entities served, and minimum & maximum capacity. Indicate if you want installation. Same applies, if not sure, just say "Yes".

Adding New Service Request



 Verify information. If you want to add additional Category 1 services, click on "Add New Service Request". If done, provide information in the Narrative text box.

Narrative in Text Box for C1 Requests

- Use the narrative text box to describe your services more fully and provide instructions for bidders
- Be sure to include building addresses if not issuing an RFP that contains this information

Narrative

If you would like to provide further detail about the services or equipment sought, you may do so in this box below. Examples include:

- Any disqualification factors;
- Additional details about the eligible locations that will be receiving these services; or
- Other information about the services requested that would help bidders develop bids that are responsive to your needs.

Seeking proposals for 2, 3 and 4 GB of symmetrical Internet delivered via fiber.

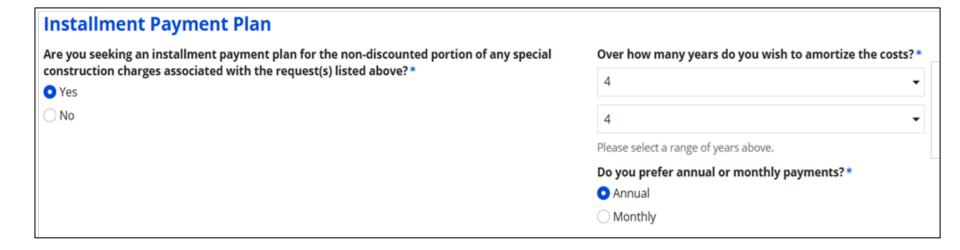
DO reasons:

Deadline for vendor questions:

Deadline for vendor proposals:

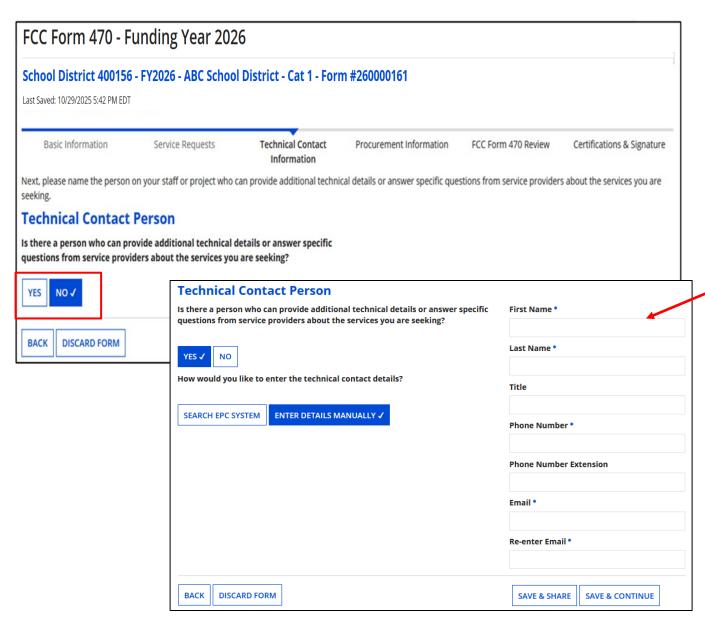
Proposals must be submitted to XXX via e-mail.

Special Construction Charges: Want to Spread Them Out? - YES



- If you selected any "fiber" services that require Special Construction (build-out) charges, you can request to pay the vendor for the non-discounted amount (the school or library's share) over 4 years
- Vendors aren't required to agree
- This is a great idea to make fiber build-out more affordable
- E-Rate portion will still be paid in the 1st year
 - Will not be amortized

Adding Technical Contact



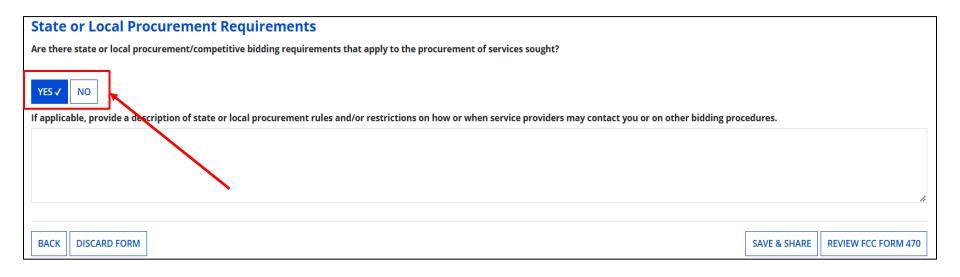
Choose "Yes" if you'd like to add a technical contact.

You can search and add an EPC user or manually enter contact details.

Do not add anyone affiliated with a service provider!!

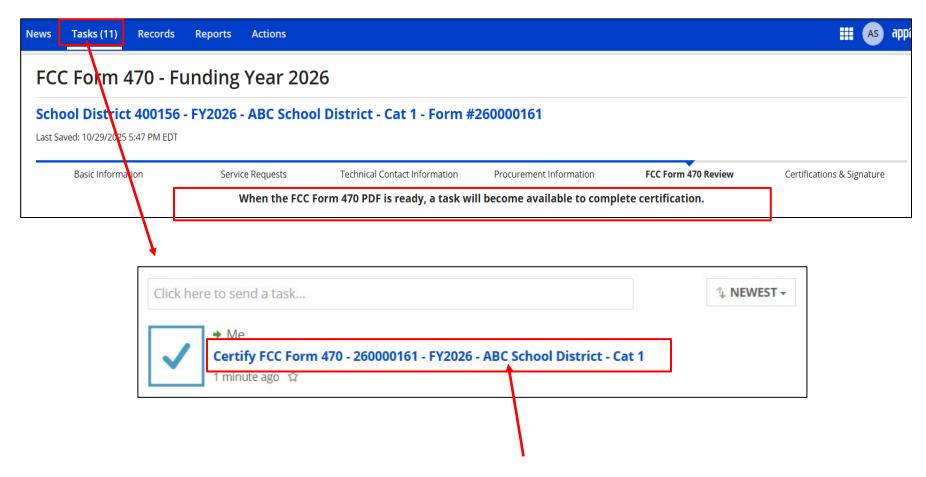
Click on "Save & Continue"

State or Local Procurement Rules?



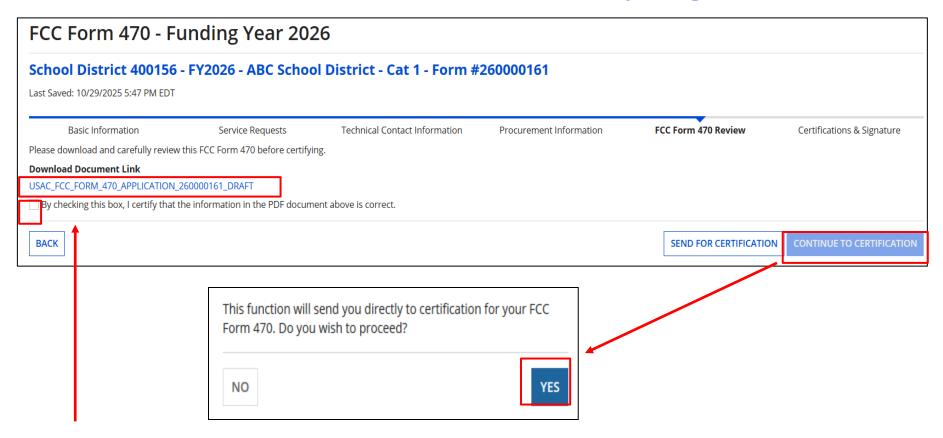
- Always select YES!
- Then use the narrative box to provide additional requirements/instructions to vendors
- For example:
 - All bids must be submitted to X person by X date in X format
 - Vendor must provide discounted bills
 - Vendor must submit 3 references of district officials where identical services were provided within last 2 years
 - Vendors must attend pre-bid meeting on X date

Review Form 470



When you advance to this screen, your Form 470 is generated as a "Task". Click on "Tasks" and then the item labeled as "Certify FCC Form 470" with the nickname you provided.

Certifying Form 470



- Click on the document link to view the PDF. If correct, click box to certify. If not, click on "Back" to make corrections.
- If you have the authority to certify application, click on "Continue to Certification" and choose "Yes" in the pop-up. Choose "Send for Certification" if someone else will certify.

Certifying Form

Certify FCC Form 470

School District 400156 - FY2026 - ABC School District - Cat 1 - Form #260000161

Last Saved: 10/29/2025 5:47 PM EDT

Basic Information

Service Requests

Technical Contact Informa

Please complete the certifications below.

Applicant Certifications

I certify that the applicant includes schools under the statutory definitions of elementary and second profit businesses, and do not have endowments exceeding \$50 million.

Other Certifications

- ✓ I certify that this FCC Form 470 and any applicable RFP will be available for review by potential bidder submitted will be carefully considered and the bid selected will be for the most cost-effective service educational needs and technology goals.
- ☑ I certify that I will retain required documents for a period of at least 10 years (or whatever retention pfunding ear or the service delivery deadline for the associated funding request. Lertify that I will refor, receipt to and delivery of services receiving schools and libraries discounts. I acknowledge that I
- ✓ I certify that I have reviewed all applicable FCC, state, and local procurement/competitive bidding req form may be punished by fine or forfeiture, under the Communications Act, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001
- I acknowledge that FCC rules povide that persons who have been convicted of criminal violations or held civilly liable for certain acts arising from their participation in the schools and libraries support mechanism are subject to suspension and debarmant from the program.
- I certify that the services the applicant punchases at discounts provided by 47 U.S.C. § 254 will be used primarily for educational purposes, see 47 C.F.R. § 54.500, and will not be sold, resold or transferred in consideration for money or any other thing of value, except to permitted by the Commission's rules at 47 C.F.R. § 54.513. Additionally, I certify that the entity or entities listed on this form have not received anything of value or a promise of anything of value, other than services and equipment sought by means of this form, from the service provider, or any representative or agent thereof or any consultant in connection with this request for
- ☑ lacknowledge that support under this support mechanism is anditional upon the school(s) and/or library(ies) I represent securing access, separately or through this program, to all of the resources, including computer training, software, internal connections, maintenance, and electrial capacity necessary to use the services purchased effectively. I recognize that some of the aforementioned resources are not eligible for support. I certify that I have considered what financial resources should be available to cover these costs. I certify that I am authorized to procure eligible services for the eligible entity(ies) Listed on this form, that I have examined this request on behalf of the eligible entity(ies) listed on this form, that I have examined this request on behalf of the eligible entity(ies) listed on this form, that I have examined this request on behalf of the eligible entity(ies) listed on this form, that I have examined this request on behalf of the eligible entity(ies) listed on this form, that I have examined this request on behalf of the eligible entity(ies) listed on this form, that I have examined this request on behalf of the eligible entity(ies) listed on this form, that I have examined this request on behalf of the eligible entity(ies) listed on this form.

NOTICE

In accordance with Section 54.503 of the Federal Communications Commission's ("Commission's rules, certain schools and libraries ordering services that are eligible for and seeking universal service discounts must file th Description of Services Requested and Certification Form (FCC Form 470) with the Universal Services Agministrator. 47 C.F.R. § 54.503. The collection of information stems from the Commission's authority under Section 25 of the Communications Act of 1934, as amended. 47 U.S.C. § 254. The data in the report will be used to assure that schools and libraries comply with the competitive bidding requirement contained in 47 C.F.R. § 54.503. Schools and libraries must file this form themselves or as part of a consortium.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

The FCC is authorized under the Communications Act of 1934, as amended, to collect the information requested in this form. We will use the information you provide to determine whether you have complied with the competitive bidding requirements applicable to requests for universal service discounts. If we believe there may be a violation of a potential violation of any applicable statute, regulation, rule or order, the information you provide in this form may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing, or inhylementing the statute, rule, regulation or order. In certain cases, the information you provide in this form may be disclosed to the Department of Justice or a court or adjudicative body when (a) the FCC; or (b) any employed of the FCC; or (c) the United States Government is a party of a proceeding before the body or has an interest in the proceeding. In addition, information provided in or submitted with this form, or in response to subsequent inquiries, may also be subject to disclosure consistent with the Communications of 1934, FCC regulations, the Freedom of Information Act, 5 U.S.C. 5552, or other applicable law.

If you owe a past due debt to the federal government, the information you provide in this form may also be disclosed to the Department of the Treasury scancial Management Service, other Federal agencies and/or you employer to offset your salary, IRS tax refund or other payments to collect that debt. The FCC may also provide the information to these agencies through the actioning of computer records when authorized.

If you do not provide the information we request on the form, the FCC or Universal Service Administrator may return your form without action or deny a related request for universal service discount

The foregoing Notice is required by the Paperwork Reduction Act of 1995, Pub. L. No. 104-13, 44 U.S.C. § 3501, et seq.

Public reporting burden for this collection of information is estimated to average 3.5 hours per response, including the time for reviewing instructions, searching existing data source, gathering and maintaining the data needed, completing, and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the reporting burden to the Federal Communications Commission, Performance Evaluation and Records Management, Washington, DC 20554. We also will accept your comments via the email if you send them to PRAINFCC.gov. DO NOT S ND COMPLETED WORKSHEETS TO THESE ADDRESSES.

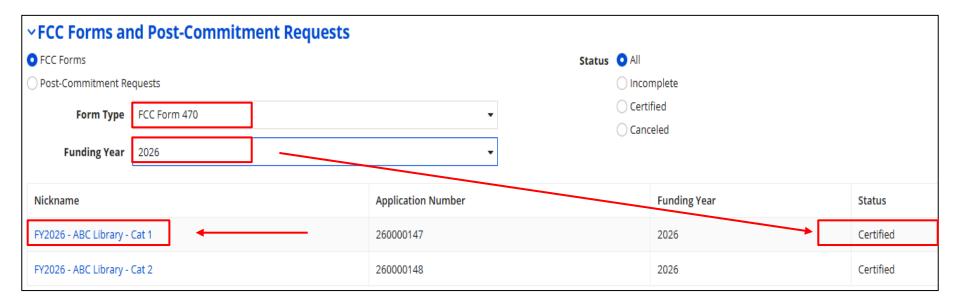
False statements on this form may result in civil liability and/or criminal prosecution. To electronically sign and certify this form, click "Yes" below. To affirm that you (1) understand the statements above and (2) represent the entity featured, and have the authority to make the certifications, on this form, click "Yes" below.



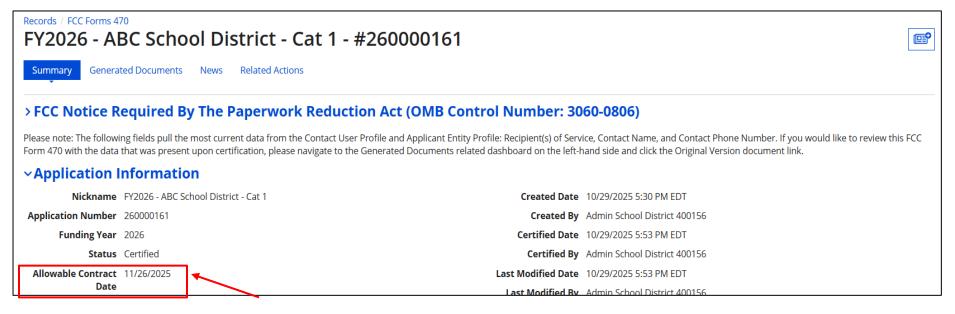


Check each box, click on "Certify", and then "Yes" to complete the application.





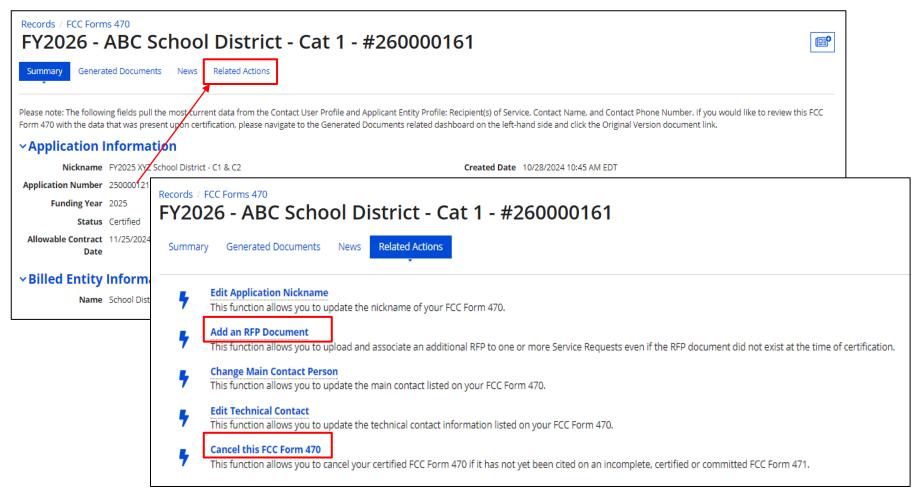
To find the Form 470, go to the bottom of the Landing Page and search. Select **2026** for the funding year. Verify the status is listed as "Certified". It may take several minutes after submitting the Form 470 for the status to update from "Incomplete" to "Certified". Click on the Nickname to see a summary of the application.



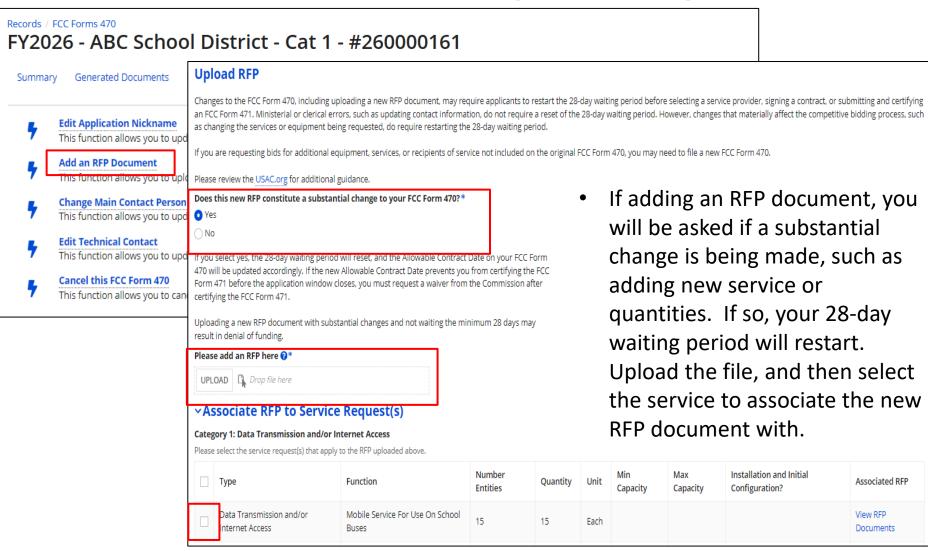
Verify the "Allowable Contract Date". Contracts can be awarded on or after this date.



Verify the services listed.



 Click on "Related Actions" for a list of options for corrections/changes. The two highlighted in red above are newer items.



Evaluating Bids

- After the bid due date (which must be at least 29 days after 470 is posted), applicant must review all qualified bids received for all services or equipment
- Must create and retain bid evaluation matrix

Services: Price of eligible services/equipment MUST be the most heavily weighted factor during bid evaluation

- Brief list of evaluation criteria examples:
 - Quality of proposed solution
 - Cost of ineligible items
 - References
 - Experience with the vendor
 - Ability to meet installation deadline

Equipment: In PA, public schools must select low-bid among all qualified proposals unless using PEPPM contract (then can include bid evaluation factors)

- Retain all winning and losing bids and all correspondence between applicant and all vendors (winning and losing)
- Helpful Guide: https://E-Ratepa.org/?p=35287

Received No Bids?

- Document via an email or file memo that no bids were received
- Existing Services: can continue to receive services from existing service provider
- New Services/Procurement: Solicit bid from willing vendor (who is not your brother-in-law or other family member)
 - Make sure the price is cost-effective
 - Check marketplace options from other vendors in your area or nearby areas
 - Save research and information to justify buying service from this vendor

Bid Evaluation Matrix Example

Factor	Points Available	Vendor A	Vendor B	Vendor C
Proposal Cost		\$750	\$800	\$1000
Weighted Points (% to lowest bid)		100%	80%	75%
Price of Eligible Services/Equipment (weighted)	60	60	48	45
Ability to Meet Installation Timeline	20	10	10	20
Quality of Proposed Solution	10	10	10	10
References	10	8	8	5
TOTAL POINTS		88	76	80





Where to Go for E-Rate Help

USAC's Client Service Center (CSC)

- E-Rate "Help Desk" where applicants & service providers can get answers to questions
- Call 888-203-8100
- Submit a 'Customer Service Case' in EPC

PA E-Rate Coordinator

- —E-mail <u>lgermann@e-ratepa.org</u>
- —Attend webinars!
- —Read listserve messages! https://e-ratepa.org/?page_id=7751
- —<u>www.e-ratepa.org</u>
- Helpful Documents: http://e-ratepa.org/?page_id=754
- Training: http://e-ratepa.org/?page_id=745

Contract

Bid